

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Group Art Unit:  
Rabindranath Dutta :  
  
: Intellectual Property  
Serial No.: : Law Department  
Filed: : International Business  
: Machines Corporation  
: 11400 Burnet Road  
: Austin, Texas 78758  
Title: System and Method for :  
Secure Delivery of a Parcel or :  
Document :  
IBM Docket No.: AUS9-2001-0047-US1 :

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents

Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention

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unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached forms, PTO/SB/08A and 08B, provide a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO/SB/08A and 08B is supplied herewith.

Respectfully submitted,



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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
	<b>Application Number</b>				
	<b>Filing Date</b>				
	<b>First Named Inventor</b>	R. Dutta			
	<b>Group Art Unit</b>				
	<b>Examiner Name</b>				
<b>Sheet</b>	1	<b>of</b>	1	<b>Attorney Docket Number</b>	AUS9-2001-0047-US1

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5673316		Auerbach et al.	09-30-97	
		5889941		Tushie et al.	03-30-99	
		3912974		Holloway et al.	06-15-99	
		6069955		Coppersmith et al.	05-30-00	
		6081610		Dwork et al	06-27-00	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

<b>Examiner Signature</b>		<b>Date Considered</b>	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Application Number	
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		First Named Inventor	R. Dutta
		Group Art Unit	
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	AUS9-2001-0047-US1	

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<del>XXXX OTHER PRIORITY</del> NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ADAMS and LLOYD, <u>Understanding Public-key Infrastructure: Concepts, Standards, and</u>	
		<u>Deployment Considerations</u> , 1999, pgs. 13 - 26, Macmillan Technical Publishing, Indianapolis, IN.	
		INTERNATIONAL TELECOMMUNICATIONS UNION, <u>ITU-T Recommendation X.509   ISO/IEC</u>	
		<u>9594-8: "Information Technology-Open Systems Interconnection-the Directory: Public-Key and</u>	
		<u>Attribute Certificate Frameworks</u> , Feb. 23, 2001, pgs. 21 - 29 and 99 - 108, available at	
		<a href="ftp://ftp.Bull.com/pub/OSIdirectory/4thEditionTexts/">ftp://ftp.Bull.com/pub/OSIdirectory/4thEditionTexts/</a> , accessed on March 17, 2001.	
		RSA SECURITY INC., <u>Frequently Asked Questions about Today's Cryptography</u> , version 4.1 - May	
		2000, pgs. 124 - 154, available at <a href="http://www.rsasecurity.com/rsalabs/faq">http://www.rsasecurity.com/rsalabs/faq</a> , accessed on February 13,	
		2001.	
		XENITELLIS, <u>The Open-source PKI Book: A guide to PKIs and Open-source Implementations</u> ,	
		2000, Chapter 2 and Appendix C, available at <a href="http://ospkibook.sourceforge.net">http://ospkibook.sourceforge.net</a> , accessed on March 21,	
		2001.	

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